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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ZARGHAM et al.

Docket No: 20206-124 (P01-3704-1)

Serial No: 10/013,091

Group Art Unit: 2176

Filing Date: December 7, 2001

Examiner: UNKNOWN

For: "Zero Latency Enterprise Enriched Publish/Subscribe"

Office of Initial Patent Examination
Customer Service Center
Commissioner For Patents
Washington, D.C. 20231

TRANSMITTAL

☒ Transmitted herewith is the following document:☒ Copy of Notice to File Missing Parts;☒ Declaration, Power of Attorney and Petition (signed);☒ Check No. 127559 in the amount of \$130.00 as set forth in 37 CFR 1.136(a), and☒ Return Postcard.☒ The Commissioner is authorized to charge any required fees, or credit any overpayment to Deposit Account No. 02-3964.Date: February 19, 2002
Leah Sherry
Reg. No. 43,918OPPENHEIMER WOLFF & DONNELLY LLP
CUSTOMER NUMBER 25696
P.O. Box 10356
Palo Alto, CA 94303
650.320.4000

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C., 20231.

Date: February 19, 2002
D'Evelyn R. Moran

APR-10-2002 WED 05:07 PM

FAX NO.

P. 16

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Page 1 of 1

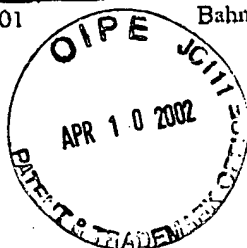


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/013,091	12/07/2001	Bahman Zargham	20206-124(P01-3704-1)

25696
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CONFIRMATION NO. 2350

FORMALITIES LETTER



OC00000007305756

Date Mailed: 01/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

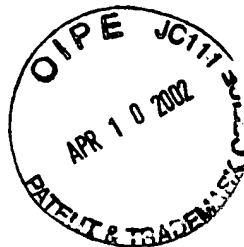
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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OPPENHEIMER WOLFF & DONNELLY LLP

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Palo Alto, California 94304650.320.4000
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FAX COVER LETTER

April 10, 2002

TO: Office of Initial Patent Examination
COMPANY: U.S. Patent and Trademark Office
PHONE: 703-308-1202
FAX: 703-746-4060

FROM: Leah Sherry
DIRECT DIAL:
EMAIL:
RE: Request for Substitute Papers, mailed 04/03/02
Serial No. 10/013,091
"Zero Latency Enterprise Enriched Publish/Subscribe"
Our reference number 20206-124 (P01-3704-1)

COMMENTS:

This submission is in response to the request for substitute papers. Attached is a signed copy of the request for substitute papers along with copies of the requested papers which previously submitted w/Certificate of Mailing dated 02/19/02:

- assignment w/ recordation cover and recordation fee, and
- response to Notice of missing parts (signed declaration, fee...); and
- copy of date-stamped return postcards.

This facsimile contains confidential information intended only for the use of the addressee(s) named above and may contain information that is legally privileged. If you are not the addressee, or the person responsible for delivering it to the addressee, you are hereby notified that reading, disseminating, distributing or copying this facsimile is strictly prohibited. If you have received this facsimile by mistake, please immediately notify us by telephone and return the original message to us at the address above via the Postal Service (we will reimburse postage). Thank you.

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Completed by: D'Evelyn R. Moran/4317

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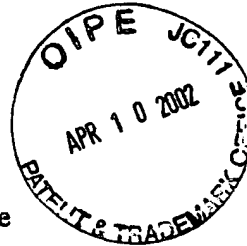


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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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Application Number	Filing Date	First Named Applicant	Atty. Docket No.
10/013,091	12/07/2001	Zargham, Bahman	20206-124(P01-3704-1)

25696
OPPENHEIMER WOLFF & DONNELLY
P. O. BOX 10356
PALO ALTO CA 94303



Title: Zero latency enterprise enriched publish/subscribe

Date Mailed:04/03/2002

Request for Substitute Papers

The papers filed on 03/04/02 (certificate of mailing dated 02/19/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers..

Name: Leah Sherry Registration No.: 43,918

Signature: [Signature] Date: 4-10-02

THE STAMP OF THE U.S. PATENT AND TRADEMARK OFFICE HEREON ACKNOWLEDGES
RECEIPT OF THE FOLLOWING:

U.S. PATENT APPLICATION SERIAL NO.: 10/013,091

Our Ref No.: 20208-124 (P01-3704-1)

Applicant(s): ZARGHAM et al.

Filed/Issued: December 7, 2001

For: "Zero Latency Enterprise Enriched Publish/Subscribe"

Enclosed: ☒ Recordation Form Cover Sheet;

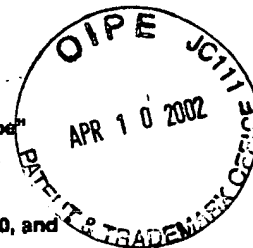
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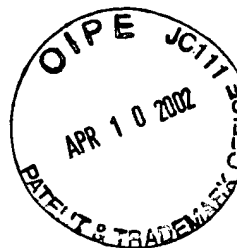
Date: February 19, 2002

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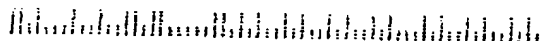
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